

Leadscope Enterprise model for unscheduled DNA synthesis (UDS) in Rat hepatocytes *in vitro*

1. QSAR identifier

1.1 QSAR identifier (title)

Leadscope Enterprise model for unscheduled DNA synthesis (UDS) in Rat hepatocytes *in vitro*, Danish QSAR Group at DTU Food.

1.2 Other related models

MultiCASE CASE Ultra model for unscheduled DNA synthesis (UDS) in Rat hepatocytes *in vitro*, Danish QSAR Group at DTU Food.

SciMatics SciQSAR model for unscheduled DNA synthesis (UDS) in Rat hepatocytes *in vitro*, Danish QSAR Group at DTU Food.

1.3. Software coding the model

Leadscope Predictive Data Miner, a component of Leadscope Enterprise version 3.1.1-10.

2. General information

2.1 Date of QMRF

January 2015.

2.2 QMRF author(s) and contact details

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2.3 Date of QMRF update(s)

2.4 QMRF update(s)

2.5 Model developer(s) and contact details

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2.6 Date of model development and/or publication

January 2014.

2.7 Reference(s) to main scientific papers and/or software package

Roberts, G., Myatt, G. J., Johnson, W. P., Cross, K. P., and Blower, P. E. J. (2000) LeadScope: Software for Exploring Large Sets of Screening Data. *Chem. Inf. Comput. Sci.*, 40, 1302-1314.

Cross, K.P., Myatt, G., Yang, C., Fligner, M.A., Verducci, J.S., and Blower, P.E. Jr. (2003) Finding Discriminating Structural Features by Reassembling Common Building Blocks. *J. Med. Chem.*, 46, 4770-4775.

Valerio, L. G., Yang, C., Arvidson, K. B., and Kruhlak, N. L. (2010) A structural feature-based computational approach for toxicology predictions. *Expert Opin. Drug Metab. Toxicol.*, 6:4, 505-518.

2.8 Availability of information about the model

The training set is non-proprietary and consists of data from Williams *et al.* (1989), plus data from Chemical Carcinogenesis Research Information System (CCRIS) and Environmental Mutagen Information Center (EMIC) extracted from CHEM-BANK (2003). The model algorithm is proprietary from commercial software.

2.9 Availability of another QMRF for exactly the same model

3. Defining the endpoint

3.1 Species

Rat (hepatocytes).

3.2 Endpoint

QMRF 4.10. Mutagenicity

OECD 482 DNA Damage and Repair, Unscheduled DNA Synthesis in Mammalian Cells in Vitro

3.3 Comment on endpoint

Most chemicals associated with cancer have the ability to react with DNA, either in their parent form or after bioactivation. Several methods to detect chemical damage to DNA (i.e. genotoxic) exist, some more specific than others. Endpoints such as DNA strand break and DNA repair are non-specific and therefore have a broader detection capability.

DNA damage can interfere with integrity and accessibility of essential genes and for this reason DNA repair is important for normal cell function. Upon DNA damage several DNA repair mechanisms can be activated depending on the type of DNA damage. One such mechanism is the nucleotide excision repair (NER) which recognizes and repairs bulky, helix-disturbing lesions in DNA.

One way to detect DNA repair is by use of the Unscheduled DNA Synthesis (UDS) *in vitro* assay. In this assay the DNA repair synthesis in mammalian hepatocytes is measured after excision and removal of a stretch of DNA containing the region of damage induced by chemical or physical agents. Genotoxic chemicals requiring bioactivation can, because of the hepatocytes capability of biotransformation, be detected in this assay as well. If a test chemical causes an increased amount of DNA synthesis in hepatocytes not in S-phase compared to the normal DNA synthesis in non-exposed cells this indicates that DNA damage has occurred due to exposure of the test chemical. Chemicals that are positive in the UDS assay are genotoxic and also likely to be carcinogenic *in vivo*. A negative test result indicates that the chemical is not genotoxic, but it cannot be excluded that the chemical is not a non-genotoxic or epigenetic carcinogen. To ensure this is not the case other assays need to be performed.

3.4 Endpoint units

No units, 1 for positives and 0 for negatives.

3.5 Dependent variable

Unscheduled DNA synthesis (UDS) in rat hepatocytes *in vitro*, positive or negative.

3.6 Experimental protocol

The USD test is described in OECD guideline 482 (1986). Briefly, rat hepatocytes are grown at appropriate growth conditions, isolated, and treated with different concentrations of the test substance in a medium

containing tritium-labelled thymidine ($^3\text{H-TdR}$) for an adequate time period. After treatment the cells are rinsed, fixed and dried and the uptake of the radioactive labeled nucleotide ($^3\text{H-TdR}$) into the DNA of the cells that are not in S-phase is determined by autoradiography or liquid scintillation counting (LSC) procedures. For autoradiography the distribution of the extent of $^3\text{H-TdR}$ incorporation in cytoplasm and the number of grains over the cell nucleus is determined. For LSC the incorporation of $^3\text{H-TdR}$ is measured in dpm/ μg DNA and the mean dpm/ μg DNA with standard deviations is used to describe the distribution. A test substance producing either a statistically significant dose-related increase in radiolabel incorporation or a reproducible and statistically significant positive response in at least one test point is considered a positive result. If neither of the two criteria is seen the test substance is considered not active in this system.

3.7 Endpoint data quality and variability

Data for the training set have been compiled from multiple sources (9.2) and therefore some degree of variability in the data is expected.

4. Defining the algorithm

4.1 Type of model

A categorical (Q)SAR model based on structural features and numeric molecular descriptors.

4.2 Explicit algorithm

This is a categorical (Q)SAR model made by use of partial logistic regression (PLR). The specific implementation is proprietary within the Leadscope software.

4.3 Descriptors in the model

structural features,

aLogP,

polar surface area,

number of hydrogen bond donors,

Lipinski score,

number of rotational bonds,

parent atom count,

parent molecular weight,

number of hydrogen bond acceptors

4.4 Descriptor selection

Leadscope Predictive Data Miner is a software program for systematic sub-structural analysis of a chemical using predefined structural features stored in a template library, training set-dependent generated structural features (scaffolds) and calculated molecular descriptors. The feature library contains approximately 27,000 pre-defined structural features and the structural features chosen for the library are motivated by those typically found in small molecules: aromatics, heterocycles, spacer groups, simple substituents. Leadscope allows for the generation of training set-dependent structural features (scaffold generation), and these features can be added to the pre-defined structural features from the library and be included in the descriptor selection process. It is possible in Leadscope to remove redundant structural features before the descriptor selection process and only use the remaining features in the descriptor

selection process. Besides the structural features Leadscope also calculates eight molecular descriptors for each training set structure: the octanol/water partition coefficient (alogP), hydrogen bond acceptors (HBA), hydrogen bond donors (HBD), Lipinski score, atom count, parent compound molecular weight, polar surface area (PSA) and rotatable bonds. These eight molecular descriptors are also included in the descriptor selection process.

Leadscope has a default automatic descriptor selection procedure. This procedure selects the top 30% of the descriptors (structural features and molecular descriptors) according to X^2 -test for a binary variable, or the top and bottom 15% descriptors according to t -test for a continuous variable. Leadscope treats numeric property data as ordinal categorical data. If the input data is continuous such as IC₅₀ or cLogP data, the user can determine how values are assigned to categories: the number of categories and the cut-off values between categories. (Roberts *et al.*2000).

When developing this model, intermediate models with application of different modelling approaches in Leadscope were tried:

1. 'Single model' using only the Leadscope pre-defined structural features, i.e. no scaffolds, and calculated molecular descriptors for descriptor selection.
2. 'Single model' using both the Leadscope pre-defined structural features and the training set dependent features (scaffolds generation) as well as the calculated molecular descriptors in the descriptor selection.
3. 'Single model' using both Leadscope pre-defined structural features and the training set dependent features (scaffolds generation), with subsequent removal of redundant structural features, and calculated molecular descriptors for descriptor selection.
4. 'Composite model' using only the Leadscope pre-defined structural features, i.e. no scaffolds, and calculated molecular descriptors in the descriptor selection.
5. 'Composite model' using both Leadscope pre-defined structural features and the training set dependent features (scaffolds generation) as well as the calculated molecular descriptors in the descriptor selection.

Based on model performance as measured by a preliminary cross-validation the model developed using approach number 2. was chosen.

For this model scaffolds were generated by Leadscope for the training set structures and added to the Leadscope library of structural features. Descriptors were then automatically selected among the structural features and the eight molecular descriptors.

4.5 Algorithm and descriptor generation

For descriptor generation see 4.4.

After selection of descriptors the Leadscope Predictive Data Miner program performs partial least squares (PLS) regression for a continuous response variable, or partial logistic regression (PLR) for a binary response variable, to build a predictive model. By default the Predictive Data Miner performs leave-one-out or leave-groups-out (in the latter case, the user can specify any number of repetitions and percentage of structures left out) cross-validation on the training set depending on the size of the training set. In the cross-validation

made by Leadscope the descriptors selected for the 'mother model' are used when building the validation submodels and they therefore have a tendency to be overfitted and give overoptimistic validation results.

In this model, because of the categorical outcome in the response variable, PLR was used to build the predictive model. For this model 191 descriptors were selected to build the model. These include 8 Leadscope calculated molecular descriptors, 131 hierarchy features, 1 dynamic feature and 51 scaffolds. The 191 descriptors were distributed on 10 PLS factors.

4.6 Software name and version for descriptor generation

Leadscope Predictive Data Miner, a component of Leadscope Enterprise version 3.1.1-10.

4.7 Descriptors/chemicals ratio

In this model 191 descriptors were used and distributed on 10 PLS factors. The training set consists of 415 compounds. The descriptor/chemical ratio is 1:2.17 (191:415).

5. Defining Applicability Domain

5.1 Description of the applicability domain of the model

The definition of the applicability domain consists of two components; the definition of a structural domain in Leadscope and the in-house further probability refinement algorithm on the output from Leadscope to reach the final applicability domain call.

1. Leadscope

For assessing if a test compound is within the structural applicability domain of a given model Leadscope examines whether the test compound bears enough structural resemblance to the training set compounds used for building the model (i.e. a structural domain analysis). This is done by calculating the distance between the test compound and all compounds in the training set (distance = 1 - similarity). The similarity score is based on the Tanimoto method. The number of neighbours is defined as the number of compounds in the training set that have a distance equal to or smaller than 0.7 with respect to the test compound. The higher the number of neighbours, the more reliable the prediction for the test compound. Statistics of the distances are also calculated. Effectively no predictions are made for test compounds which are not within the structural domain of the model or for which the molecular descriptors could not be calculated in Leadscope.

2. The Danish QSAR group

In addition to the general Leadscope structural applicability domain definition the Danish QSAR group has applied a further requirement to the applicability domain of the model. That is only positive predictions with a probability equal to or greater than 0.7 and negative predictions with probability equal to or less than 0.3 are accepted. Predictions within the structural applicability domain but with probability between 0.5 to 0.7 or 0.3 to 0.5 are defined as positives out of applicability domain and negatives out of applicability domain, respectively. When these predictions are weeded out the performance of the model in general increases at the expense of reduced model coverage.

5.2 Method used to assess the applicability domain

Leadscope does not generate predictions for test compounds which are not within the structural domain of the model or for which the molecular descriptors could not be calculated.

Only positive predictions with probability equal to or greater than 0.7 and negative predictions with probability equal to or less than 0.3 are accepted.

5.3 Software name and version for applicability domain assessment

Leadscope Predictive Data Miner, a component of Leadscope Enterprise version 3.1.1-10.

5.4 Limits of applicability

The Danish QSAR group applies an overall definition of structures acceptable for QSAR processing which is applicable for all the in-house QSAR software, i.e. not only CASE Ultra. According to this definition accepted

structures are organic substances with an unambiguous structure, i.e. so-called discrete organics defined as: organic compounds with a defined two dimensional (2D) structure containing at least two carbon atoms, only certain atoms (H, Li, B, C, N, O, F, Na, Mg, Si, P, S, Cl, K, Ca, Br, and I), and not mixtures with two or more 'big components' when analyzed for ionic bonds (for a number of small known organic ions assumed not to affect toxicity the 'parent molecule' is accepted). Calculation 2D structures (SMILES and/or SDF) are generated by stripping off ions (of the accepted list given above). Thus, all the training set and prediction set chemicals are used in their non-ionized form. See 5.1 for further applicability domain definition.

6. Internal validation

6.1 Availability of the training set

Yes

6.2 Available information for the training set

CAS

SMILES

6.3 Data for each descriptor variable for the training set

No

6.4 Data for the dependent variable for the training set

All

6.5 Other information about the training set

415 compounds are in the training set: 204 positives and 211 negatives.

6.6 Pre-processing of data before modelling

Only structures acceptable for Leadscope were used in the final training set. That is only discrete organic chemicals as described in 5.4 were used. In case of replicate structures, one of the replicates was kept if all the compounds had the same activity and all were removed if they had different activity. No further structures accepted by the software were eliminated (i.e. outliers).

6.7 Statistics for goodness-of-fit

Not performed.

6.8 Robustness – Statistics obtained by leave-one-out cross-validation

Not performed. (It is not a preferred measurement for evaluating large models).

6.9 Robustness – Statistics obtained by leave-many-out cross-validation

A five times two-fold 50 % cross-validation was performed. This was done by randomly removing 50% of the full training set used to make the “mother model”, where the 50% contains the same ratio of positive and negatives as the full training set. A new model (validation submodel) was created on the remaining 50% using the same settings in Leadscope but with no information from the “mother model” regarding descriptor selection etc. The validation submodel was applied to predict the removed 50% (within the defined applicability domain for the submodel). Likewise, a validation submodel was made on the removed 50% of the training set and this model was used to predict the other 50% (within the defined applicability domain for this submodel). This procedure was repeated five times.

Predictions within the defined applicability domain for the ten validation submodels were pooled and Cooper’s statistics calculated. This gave the following results for the 57.7% (1198/(5*415)) of the predictions which were within the applicability domain:

- Sensitivity (true positives / (true positives + false negatives)): 74.1%
- Specificity (true negatives / (true negatives + false positives)): 70.1%
- Concordance ((true positives + true negatives) / (true positives + true negatives + false positives + false negatives)): 72.4%

6.10 Robustness - Statistics obtained by Y-scrambling

Not performed.

6.11 Robustness - Statistics obtained by bootstrap

Not performed.

6.12 Robustness - Statistics obtained by other methods

Not performed.

7. External validation

7.1 Availability of the external validation set

7.2 Available information for the external validation set

7.3 Data for each descriptor variable for the external validation set

7.4 Data for the dependent variable for the external validation set

7.5 Other information about the training set

7.6 Experimental design of test set

7.7 Predictivity – Statistics obtained by external validation

7.8 Predictivity – Assessment of the external validation set

7.9 Comments on the external validation of the model

External validation not performed.

8. Mechanistic interpretation

8.1 Mechanistic basis of the model

The global model identifies structural features and molecular descriptors which in the model development was found to be statistically significant associated with effect. Many predictions may indicate modes of action that are obvious for persons with expert knowledge for the endpoint.

8.2 A priori or posteriori mechanistic interpretation

A posteriori mechanistic interpretation. The identified structural features and molecular descriptors may provide basis for mechanistic interpretation.

8.3 Other information about the mechanistic interpretation

9. Miscellaneous information

9.1 Comments

The model can be applied to predict if a chemical will cause Unscheduled DNA Synthesis (UDS) in rat hepatocytes *in vitro*.

9.2 Bibliography

CHEM-BANK (2003) Databanks of potential hazardous chemicals: RTECS, OHMTADS, CHRIS, HSDB, IRIS, TSCA, NPG and ERG2000. USA. CHEM-BANK™, CD-ROM, SilverPlatter International N.V., January 2003.

OECD guideline 482 (1986) OECD Guidelines for Testing of Chemicals No. 482, Genetic Toxicology: DNA Damage and Repair/Unscheduled DNA Synthesis in Mammalian Cells *in vitro*. Organisation for Economic Cooperation and Development; Paris, France. Available online at: http://www.oecd-ilibrary.org/environment/oecd-guidelines-for-the-testing-of-chemicals-section-4-health-effects_20745788.

Williams, G.M., Mori, H, and McQueen, C.A. (1989) Structure-activity relationships in the rat hepatocyte DNA-repair test for 300 chemicals. *Mutation Research*, 221, 263 - 286.

9.3 Supporting information